



**OATT Standards of Conduct
NONCONFORMANCE
REPORT**

NUMBER: NCR-2017-5
OATT-SoC-004

PAGE:
1 of 1

Description of Nonconformance: Non-conformance Report NCR-2017-5

OATT Standards of Conduct Non-Conformance Reporting: OATT-SoC-003

OASIS site postings outdated related to the following notices:

1. *Shared Employees -- last updated 07-24-2006*
2. *Shared Facilities -- last updated 02-14-2008*
3. *Potential Merger Partners -- last updated 07-24-2006*
4. *Prohibited Disclosures -- last updated 07-20-2012*
5. *Discretion -- last updated 07-24-2006*

While this type of event is not listed as a non-conformance event in Section 3 of the *OATT Standards of Conduct Non-Conformance Reporting* document, the list is not intended to be all-inclusive. As such, the failure to re-refresh the 'last updated' dates stated above has been recorded as a non-conformance event.

It should be noted that Section B.2.c.iv of the SoC requires that the above information be updated within 7 business days of the date of any change. However, NS Power agrees that the status date should be updated on a regular basis even if the posted information remains unchanged.

Originated By Dave Kelly Date May 30, 2017 Forward to Manager/Supervisor

Corrective Action Taken to Prevent Recurrence

Manager /
Supervisor Dave Stanford
Sr. Manager, Control
Centre operations

Date Jun. 1, 2017

Complete below and forward to the Control Centre Administrative Assistant

Cause

1. No changes to the OASIS postings were made due to the fact that the information posted was still correct and required no update.

ISSUE # 1, REV. 0

AUTHORIZATION: Alison Gillan
OATT SoC Chief Compliance Officer

DATE: June 10, 2005



**OATT Standards of Conduct
NONCONFORMANCE
REPORT**

NUMBER: NCR-2017-5
OATT-SoC-004

PAGE:
1 of 1

Immediate Corrective Action

1. Re-refresh the "last updated" dates for each of the following five items on the OASIS site by June 6, 2017 as directed by the NS Utility and Review Board (The Board) Board in their May 17, 2017 response to the Nova Scotia Power Inc., System operator 2016 Wholesale Market Report (P-194; M07951):
 1. Shared Employees -- last updated 07-24-2006
 2. Shared Facilities -- last updated 02-14-2008
 3. Potential Merger Partners -- last updated 07-24-2006
 4. Prohibited Disclosures -- last updated 07-20-2012
 5. Discretion -- last updated 07-24-2006

Completed on May 24, 2017

Long-Term Corrective Action

1. Continue to re-refresh the "last updated" dates for Shared Employees, Shared Facilities, Potential Merger Partners, Prohibited Disclosures, and Discretion in accordance within Section B.2.c.iv of the SoC, and, at a minimum, review and refresh the "last updated" dates on an annual basis prior to the submission of the annual Wholesale Market Report.

Follow Up of Corrective Action

1. Confirmation notification from OATT Manger to Sr. Manager, Control Centre Operations upon re-refresh date.

Followed up by:

Date:

ISSUE # 1, REV. 0

AUTHORIZATION: Alison Gillan
OATT SoC Chief Compliance Officer

DATE: June 10, 2005