



**OATT Standards of Conduct
NONCONFORMANCE
REPORT**

NUMBER: NCR-2017-3
OATT-SoC-004

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Description of Nonconformance: Non-conformance Report NCR-2017-3

OATT Standards of Conduct Non-Conformance Reporting: OATT-SoC-003
Section 3

Failure to adequately post on the OASIS the names and addresses of NSPI's Marketing & Sales Unit and Affiliates results in a nonconformance of the Standards of Conduct.

The names and addresses of NSPI's Affiliates were last updated in December of 2015. Section B.2.c.iv requires that NS Power update this information on OASIS within 7 business days of any change.

The names and addresses of NSPI's Affiliates were last posted in December of 2015. Updates required as a result of changes to NS Power Affiliates occurring after this date were not posted on the OASIS site. Section B.2.c.iv of the Standards of Conduct requires that NS Power update this information on OASIS within 7 business days of any change.

Originated By Dave Kelly Date May 30, 2017 Forward to Manager/Supervisor

Corrective Action Taken to Prevent Recurrence

Manager / Supervisor Dave Stanford
Sr. Manager, Control Centre operations Date Jun. 1, 2017

Complete below and forward to the Control Centre Administrative Assistant

Cause

Updating the NS Power SoC Comprehensive Organization Chart is currently a manual process. There is no process in place that directly informs the OATT manager of a change to the NS Power Affiliates list (i.e., changes to Emera, Inc. owned companies).

Immediate Corrective Action

1. Update the OASIS Organizational Chart of NS Power Affiliates based on the Emera, Inc. organizational chart and re-post to OASIS by June 6, 2017 as directed by the NS Utility and Review Board (The Board) Board in their May 17, 2017 response to the Nova Scotia Power Inc., System operator 2016 Wholesale Market Report (P-194; M07951).

ISSUE # 1, REV. 0

AUTHORIZATION: Alison Gillan
OATT SoC Chief Compliance Officer

DATE: June 10, 2005



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Long-Term Corrective Action

1. Set up a process with Emera, inc., to directly inform the OATT Manager upon acquisition or divestment of an Emera, Inc. company (i.e., an NS Power Affiliate).

Completion Date: September 29, 2017.

Follow Up of Corrective Action

1. Confirmation of process in place, by Sr. Manager, Control Centre Operations.

Followed up by:

David Kelly

Date:

Sept 29, 2017

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OATT SoC Chief Compliance Officer

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